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PTO/SB/21 (08-00)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/538,864	
	Filing Date	March 29, 2000	
	First Named Inventor	Myeong-Je CHO, et al.	
	Group Art Unit	1655	
	Examiner Name	J. Einsmann	
Total Number Of Pages In This Submission	6	Attorney Docket No.	416272001300

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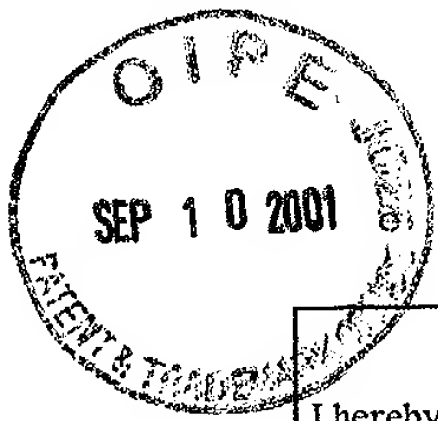
SEP 13 2001

TECH CENTER 1600/2900

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Revocation of Prior Power of Attorney and Power of Attorney and Prosecution by Assignee - 3 pgs Revocation, Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. CERTIFICATE UNDER 37 C.F.R. § 3.73(b) - 1 pg
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. Copy of Assignment document - 1 pg
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	Morrison & Foerster LLP 425 Market Street, San Francisco, CA 94105-2842 Michael R. Ward (Reg. No. 38,651)
Signature	Michael R. Ward
Date	September 04, 2001

<b>CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"</b>
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on September 04, 2001.
LILIA OLSEN



PATENT  
Docket No. 416272001300  
Clt. Ref. B99-063-2

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

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SEP 13 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TECH CENTER 1600/2900

In the application of:

Myeong-Je CHO, et al.

Serial No.: 09/538,864

Filing Date: March 29, 2000

For: VALUE-ADDED TRAITS IN  
GRAIN AND SEED TRANSFORMED WITH  
THIOREDOXIN

Examiner: J. Einsmann

Group Art Unit: 1655

**REVOCATION OF PRIOR POWER OF ATTORNEY AND  
POWER OF ATTORNEY AND PROSECUTION BY ASSIGNEE  
UNDER 37 C.F.R. § 3.71**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, the assignee of the entire right, title and interest in this patent application, hereby revokes all Powers of Attorney previously granted relating to this application and appoint as its attorneys or agents, with full power of substitution, association, and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected herewith:

Lisa A. Amii (Reg No. 48,199)  
Mehran Arjomand (Reg No. P48,231)  
Sanjay S. Bagade (Reg No. 42,280)  
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Frank Wu (Reg No. 41,386)  
Peter J. Yim (Reg No. 44,417)  
Karen R. Zachow (Reg No. 46,332)

all of Morrison & Foerster LLP, 425 Market Street, San Francisco, California 94105-2482, telephone: (415) 268-7000, said appointment to be to the exclusion of the inventors and their attorneys in accordance with the provisions of 37 C.F.R. § 3.71 provided that if any one of said attorneys or agents ceases being affiliated with the law firm of Morrison & Foerster as partner, employee or of counsel, such attorney's or agent's appointment as attorney or agent and all powers derived therefrom shall terminate on the date such attorney or agent ceases being so affiliated.

Please direct all communications relative to this application to:

Michael R. Ward  
Morrison & Foerster LLP  
425 Market Street  
San Francisco, California 94105-2482

Please direct all telephone communications to Michael R. Ward at (415) 268-6237.

THE REGENTS OF THE UNIVERSITY OF  
CALIFORNIA  
a California corporation

Dated: August 17, 2001



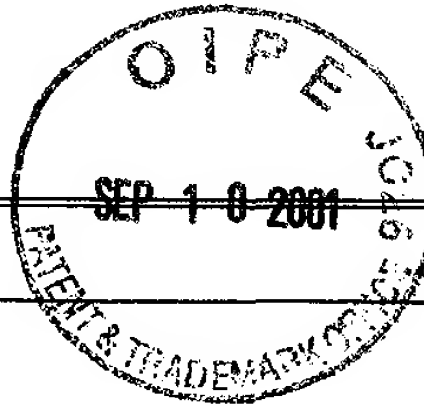
Name: William A. Hoskins

Title: Director

Address: Office of Technology Licensing  
2150 Shattuck Ave, Suite 510  
Berkeley, CA 94720-1620

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PTO/SB/96 (10-92)

**CERTIFICATE UNDER 37 C.F.R. § 3.73(b)**

Docket No. 416272001300

In the application of: **Myeong-Je CHO et al.**  
Serial No.: **09/538,864**  
Filed: **March 29, 2000**  
For: **VALUE-ADDED TRAITS IN GRAIN AND SEED TRANSFORMED WITH THIOREDOXIN**

**ASSIGNEE, THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**, a corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From : \*

To: \*

The document was recorded in the Patent and Trademark Office at Reel \*, Frame \*, or for which a copy thereof is attached.

2. From : \*

To: \*

The document was recorded in the Patent and Trademark Office at Reel \*, Frame \*, or for which a copy thereof is attached.

3. From : \*

To: \*

The document was recorded in the Patent and Trademark Office at Reel \*, Frame \*, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: September 4, 2001

Name: MICHAEL R. WARD

Title: AGENT FOR ASSIGNEE

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Myeong-Je Cho 2. Peggy G. Lemaux 3. Bob B. Buchanan 4. Joshua Wong 5. Corina Marx

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, and any and all improvements which are disclosed in UCB case No. B99-063-2, entitled: *Value-Added Traits in Grain and Seed Transformed with Thioredoxin*, or which is found in U.S. application serial no.: 09/538,864, filed on March 29, 2000

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said Invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Assignor(s)

19<sup>th</sup> day of July, 2000

Myeong-Je Cho  
Myeong-Je Cho

19<sup>th</sup> day of July, 2000

Peggy G. Lemaux  
Peggy G. Lemaux

19<sup>th</sup> day of July, 2000

Bob B. Buchanan  
Bob B. Buchanan

19<sup>th</sup> day of June, 2000

Joshua Wong  
Joshua Wong

19<sup>th</sup> day of June, 2000

Corina Marx  
Corina Marx